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Tracy Whetstone is a partner in our National Professional Standards Group, focused on capital markets and investment management entities. Tracy began her career in public accounting in St. Louis, Missouri, in 1989 and transferred to the Chicago area in 1992. Prior to joining RSM US LLP, Tracy provided audit, internal audit and regulatory consulting services to financial services firms. Tracy gained client perspective through several years of experience outside of public accounting in the financial services industry. As the director of strategic planning for a regional stock exchange and the treasurer of a publicly traded, self-clearing brokerage firm, she developed growth strategies and process improvement plans. Additionally, internal audit and regulatory

consulting assignments provided opportunities to assess risk and recommend risk mitigation strategies. The result of these experiences is a pragmatic and practical approach to auditing.

Tracy's experience has been in the broader financial services industry, including registered investment advisors, investment management companies, brokers and dealers in securities, exchange traded funds, stock and options exchanges, and banks and credit unions.