

## APPENDIX V LIST OF CHANGES FOR THE 2017 COMPLIANCE SUPPLEMENT

This Appendix provides a list of changes from the 2016 Compliance Supplement, dated June 2016.

### Table of Contents

- The Table of Contents has been changed to:
  - Modify the program titles for the following programs in Part 4 to make them consistent with the names as they appear in the *Catalog of Federal Domestic Assistance* (CFDA):
    - CFDA 15.021 - Consolidated Tribal Government
    - CFDA 15.042 – Indian School Equalization
    - CFDA 15.504 – Title XVI Water Reclamation and Reuse
    - CFDA 15.605 – Sport Fish Restoration
    - CFDA 15.668 – Coastal Impact Assistance
    - CFDA 84.367 – Supporting Effective Instruction State Grant
    - CFDA 93.224 – Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care)
    - CFDA 93.527 – Grants for New and Expanded Services under the Health Center Program.
  - Add to Part 4, in the Department of the Interior (DOI) section, a cross-cutting cluster, the 477 Cluster, that applies to the following DOI, Department of Labor, and Department of Health and Human Services (HHS) programs *only as indicated therein*:
    - CFDA 15.025 – Services to Indian Children, Elderly and Families
    - CFDA 15.026 – Indian Adult Education
    - CFDA 15.113 – Indian Social Services-Welfare Assistance
    - CFDA 15.114 – Indian Education-Higher Education Grant
    - CFDA 15.130 – Indian Education-Assistance to Schools
    - CFDA 17.265 – Native American Employment and Training
    - CFDA 93.558 – Temporary Assistance for Needy Families
    - CFDA 93.569 – Community Services Block Grant
    - CFDA 93.575 – Child Care and Development Block Grant

CFDA 93.594 – Tribal Work Grants – Native Employment Works  
CFDA 93.596 – Child Care Mandatory and Matching Funds of the Child Care and Development Fund.

- Add to Part 4, in the existing Highway Planning and Construction cluster, CFDA 20.224 – Federal Lands Access Program.

- Add to Part 4 a new agency, Gulf Coast Ecosystem Restoration Council, and the following two programs:

CFDA 87.051 – Gulf Coast Ecosystem Restoration Council  
Comprehensive Plan Component Program

CFDA 87.052 – Gulf Coast Ecosystem Restoration Council Spill Impact  
Program.

- Add to Part 4 CFDA 93.870 – Maternal, Infant, and Early Childhood Home Visiting Grant Program to form a new cluster with CFDA 93.505, Affordable Care Act – Maternal, Infant, And Early Childhood Home Visiting Program.
- Delete from Part 4 CFDA 11.558 – State Broadband Data and Development Grant Program.
- Delete from Part 4 CFDA 93.889 – National Bioterrorism Hospital Preparedness Program.
- Delete from Part 4 the following American Recovery and Reinvestment Act (ARRA) program:

CFDA 10.781 - Water and Waste Disposal Systems for Rural Communities  
- ARRA, resulting in the elimination of a cluster.

- Modify the names of the following programs in the Student Financial Assistance cluster to match their names in the CFDA:

CFDA 84.033 – Federal Work-Study Program

CFDA 84.268 – Federal Direct Student Loans

CFDA 84.408 – Postsecondary Education Scholarships for Veteran's  
Dependent (Iraq and Afghanistan Service Grant (IASG))

CFDA 84.063 – Federal Pell Grant Program

- Update the title of Appendix V.

**Part 1 - Background, Purpose, and Applicability**

- Updated for the effective date of the Supplement.
- Updated contact information for the Federal Audit Clearinghouse.
- Updated weblinks.
- Updated for clarity and consistency with other parts of the Supplement.

**Part 2 - Matrix of Compliance Requirements**

- Updated matrix to add and remove programs to make it consistent with the Table of Contents and Part 4.
- Updated matrix based on added and deleted compliance requirements in Parts 4 and 5, or to make corrections, as follows (**Note:** These changes also were made in the tables in Parts 4 and 5, where applicable):
  - CFDA 15.022 – changed “Period of Performance” from “Y” to “N.”
  - CFDA 15.042 – changed “Period of Performance” from “Y” to “N.”
  - CFDA 15.225 – changed “Matching, Level of Effort, Earmarking” from “Y” to “N.”
  - CFDA 15.231 – changed “Matching, Level of Effort, Earmarking” from “Y” to “N.”
  - CFDA 21.015 – changed “Matching, Level of Effort, Earmarking” from “N” to “Y.”
  - CFDA 84.002 –changed “Eligibility” from “N” to “Y.”
  - CFDA 93.224 and 93.527 – changed “Program Income” from “Y” to “N” and “Special Tests and Provisions” from “N” to “Y.”
  - CFDA 93.600 – changed “Eligibility” from “N” to “Y,” “Program Income” from “Y” to “N,” and “Special Tests and Provisions” from “N” to “Y.”
  - CFDA 93.918 –changed “Subrecipient Monitoring” from “N” to “Y.”
  - Student Financial Assistance cluster – changed “Period of Performance” from “Y” to “N” for the Department of Education (ED) and, as a result, show ED (“N”) and HHS (“Y”) separately.

### Part 3 - Compliance Requirements

- Updated 3.2-I, “Procurement and Suspension and Debarment - Availability of Other Information,” to reflect the extension of the grace period for compliance with the procurement requirements in 2 CFR part 200 from 2 to 3 years.

### Part 4 - Agency Program Requirements

- **CFDA 10.500** – Updated covered recipients in II, “Program Procedures;” III.G.1.d, “Matching, Level of Effort, Earmarking – Matching;” and III.H, “Period of Performance.” Added a website in II, “Program Procedures.”
- **CFDA 10.557** – Updated II, “Program Procedures;” III.E.1.c, “Eligibility – Eligibility for Individuals,” to revise a weblink; III.B.2.b, “Allowable Costs/Cost Principles,” to update a weblink; III.L.1.d, “Reporting – Financial Reporting;” III.N.2.a.(1)(b), “Special Tests and Provisions – Review of Redeemed Food Instruments and Cash-Value Vouchers;” and III.N.4, “Special Tests and Provisions – Authorization of Above-50-Percent Vendors.”
- **CFDAs 10.565, 10.568, and 10.569** – Updated the Compliance Requirement section of III.N, “Special Tests and Provisions – Accountability for USDA Foods.”
- **CFDA 10.760** – Deleted ARRA program (CFDA 10.781) and removed this as a cluster. Updated II, “Program Procedures,” and noted in III.L.1.d and e, “Reporting – Financial Reporting,” that all data elements are considered as key data elements.
- **CFDAs 11.300 and 11.307** – Updated throughout to reflect 2014 regulatory changes or clarify terminology as follows: I, “Program Objectives;” II, “Program Procedures;” III.A.2, “Activities Allowed or Unallowed;” III.C, “Cash Management;” III.H, “Period of Performance;” and in III.N, “Special Tests and Provisions,” N.1, “Increase to RLF Capital Base and Capital Utilization,” N.3, “Addition of Lending Areas and Consolidation and Merger of RLFs,” and N.4, “RLF Loan Portfolio Sales and Securitizations.” Updated reporting requirements in III.L.3, “Reporting – “Special Reporting.” Updated coverage in IV, “Other Information,” for the Schedule of Expenditures of Federal Awards. Added a subsection in IV, “Other Information,” regarding continuing compliance requirements for RLFs.
- **CFDA 11.557** – Changed III.L.2, “Reporting – Performance Reporting,” to “Not Applicable.”

- **CFDAs 14.218 and 14.225** – Updated II, “Program Procedures;” III.A.2, 4, and 6, “Activities Allowed or Unallowed;” III.G.3, “Matching, Level of Effort, Earmarking – Earmarking;” III.J, “Program Income;” and III.N.2, “Special Tests and Provisions – Citizen Participation.” Added III.B, “Allowable Costs/Cost Principles,” and III.F, “Equipment and Real Property Management,” to note exceptions from the Uniform Guidance in 2 CFR part 200.
- **CFDA 14.231** – Updated II, “Program Procedures,” and III.E.3, “Eligibility – Eligibility for Subrecipients.”
- **CFDA 14.239** – Added III.C, “Cash Management;” III.F, “Equipment and Real Property Management;” and III.J, “Program Income.” Updated III.G.1, “Matching, Level of Effort, Earmarking – Matching.” These changes (other than the changes to III.G.1.a) were made to note exceptions from the Uniform Guidance in 2 CFR part 200.
- **CFDA 14.241** – Updated II, “Program Procedures.”
- **CFDA 14.850** – Updated III.B, “Allowable Costs/Cost Principles,” and citations in III.N.14, “Special Tests and Provisions – Recording of Declarations of Trust Against Public Housing Property.”
- **CFDA 14.862** – Added III.B, “Allowable Costs/Cost Principles,” and updated III.F.2, “Equipment and Real Property Management,” to note exceptions from the Uniform Guidance in 2 CFR part 200.
- **CFDA 14.867** – Added III.B, “Allowable Costs/Cost Principles,” to note exceptions from the Uniform Guidance in 2 CFR part 200 and include language concerning the consultant compensation cap. Updated III.I, “Procurement and Suspension and Debarment,” to indicate the availability of the use of Federal supply sources; and III.L.2.b, “Reporting – Performance Reporting,” to correct information about the applicability of the HUD 60002 reporting requirement.
- **CFDAs 14.871 and 14.879** – Updated II, “Program Procedures;” III.A.1, and 2, “Activities Allowed or Unallowed;” III.B, “Allowable Costs/Cost Principles;” III.C, “Cash Management;” III.N.7, “Special Tests and Provisions – Operating Transfers and Administrative Fees;” and III.N.9, “Special Tests and Provisions – Rolling Forward Equity Balances.”
- **CFDA 14.872** – Updated III.B, “Allowable Costs/Cost Principles,” and III.N.4, “Special Tests and Provisions - Environmental Review.” The latter changes were made for consistency with revised environmental review procedures.
- **CFDA 14.873** – Updated weblink in II, “Program Procedures - Availability of Other Program Information.” Added III.B, “Allowable Costs/Cost Principles,” to note exceptions from the Uniform Guidance in 2 CFR part 200 and include language concerning the consultant compensation cap.

- **CFDA 14.881** – Updated III.B, “Allowable Costs/Cost Principles.”
- **15.000** – Updated to conform program names with Table of Contents and individual program supplements.
- **CFDA 15.022** – Updated I, “Program Objectives;” II, “Program Procedures;” and III.A, “Activities Allowed or Unallowed.” Removed H, “Period of Performance.”
- **CFDA 15.042** – Updated II, “Program Procedures.” Removed H, “Period of Performance.”
- **CFDA 15.225** – Updated II, “Program Procedures,” and removed III.G.1, “Matching, Level of Effort, Earmarking - Matching,” because the Youth Conservations Corps Act of 1970 and the Public Lands Corps Act no longer apply to this program.
- **CFDA 15.231** – Updated II, “Program Procedures,” and removed III.G.1, “Matching, Level of Effort, Earmarking - Matching,” because the Youth Conservations Corps Act of 1970 and the Public Lands Corps Act no longer apply to this program.
- **CFDA 15.236** – Updated I, “Program Objectives,” and II, “Program Procedures.”
- **CFDA 15.668** – Removed IV, “Other Information,” as the information is no longer needed.
- **CFDA 16.710** – Updated II, “Program Procedures,” and IV, “Other Information.” Updated III.B.1, “Allowable Costs/Cost Principles,” and III.G.1, “Matching, Level of Effort, Earmarking – Matching,” to remove references to the COPS Hiring Recovery Program.
- **CFDA 16.738** – Updated a weblink in II, “Program Procedures.” Added III.F, “Equipment and Real Property Management,” to note an exception from the Uniform Guidance in 2 CFR part 200.
- **CFDAs 17.207, 17.801, and 17.804** – Updated I, “Program Objectives;” II, “Program Procedures;” III.A.1, “Activities Allowed or Unallowed;” III.E.1, “Eligibility – Eligibility for Individuals;” and III.L.1, “Reporting – Financial Reporting.” Added a new III.A.8, “Activities Allowed or Unallowed.” Removed III.L.2, “Reporting – Performance Reporting,” and made it “Not Applicable,” because these programs are transitioning to a new reporting system.
- **CFDA 17.225** – Updated II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” III.E.1, “Eligibility – Eligibility for Individuals;” III.H, “Period of Performance; and III.N.2, “Special Tests and Provisions – UI Benefit Payments.” Updated weblinks in III.L.1.e, “Reporting – Financial Reporting,” and III.N.5, “Special Tests and Provisions – UI Program Integrity – Overpayments.”

- **CFDA 17.235** – Updated I, “Program Objectives;” II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” and III.E.1, “Eligibility – Eligibility for Individuals.” Updated weblink in III.L.1.d, “Reporting – Financial Reporting.”
- **CFDA 17.245** – Updated weblink in III.L.1.d, “Reporting – Financial Reporting.”
- **CFDAs 17.258, 17.259, and 17.278** – Updated throughout to reflect completion of the transition to the Workforce Innovation and Opportunity Act. The affected sections are I, “Program Objectives;” II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” III.E.1, “Eligibility – Eligibility for Individuals;” III.F, “Equipment and Real Property Management;” III.G.3, “Matching, Earmarking, Level of Effort – Earmarking;” I, “Procurement and Suspension and Debarment;” III.J, “Program Income;” III.L.1.d, “Reporting – Financial Reporting;” and III.M, “Subrecipient Monitoring.” Removed III.L.2, “Reporting – Performance Reporting,” and made it “Not Applicable,” because these programs are transitioning to a new reporting system.
- **CFDA 17.264** – Updated throughout to reflect completion of the transition to the Workforce Innovation and Opportunity Act. The affected sections are I, “Program Objectives;” II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” III.E.1, “Eligibility – Eligibility for Individuals;” III.F, “Equipment and Real Property Management;” III.G.3, “Matching, Earmarking, Level of Effort – Earmarking;” I, “Procurement and Suspension and Debarment;” and III.J, “Program Income.” Removed III.L.2, “Reporting – Performance Reporting,” and made it “Not Applicable,” because this program is transitioning to a new reporting system.
- **CFDA 17.265** – Updated throughout to reflect completion of the transition to the Workforce Innovation and Opportunity Act. The affected sections are I, “Program Objectives;” II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” III.E.1, “Eligibility – Eligibility for Individuals;” III.F, “Equipment and Real Property Management;” III.G.3, “Matching, Earmarking, Level of Effort – Earmarking;” III.J, “Program Income;” and III.M, “Subrecipient Monitoring.” Updated III.L.2, “Reporting – Performance Reporting.” Updated weblink in III.L.1.d, “Reporting – Financial Reporting.” Added IV, “Other Information,” to address whether the new 477 cluster or this program supplement applies.
- **20.000** – Updated to add CFDA 20.224 to the Highway Planning and Construction Cluster.
- **20.001** – Updated the list of programs covered by the Wage Rate requirement, including adding the Gulf Coast Ecosystem Restoration Council programs.
- **CFDA 20.106** – Updated contact information in II, “Program Procedures.”

- **CFDAs 20.205, 20.219, 20.224 and 23.003** – Added CFDA 20.224 to the programs in the cluster. Updated I, “Program Objectives;” II, “Program Procedures;” III.A.1, “Activities Allowed or Unallowed;” and III.G.1, “Matching, Level of Effort, Earmarking - Matching.” In III.N, “Special Tests and Provisions,” updated N.1, “Wage Rate Requirements;” N.6, “Project Approvals;” and N.9, “Administration of Engineering and Design-Related Service Contracts.” Added a new requirement to III.I, “Procurement and Suspension and Debarment.”
- **CFDA 20.223** – Updated I, “Program Objectives;” II, “Program Procedures;” and III.N.2, “Special Tests and Provisions – Administration of Engineering and Design-Related Service Contracts.”
- **CFDAs 20.500, 20.507, 20.525, and 20.526** – Updated I, “Program Objectives;” II, “Program Procedures;” III.A.1, “Activities Allowed or Unallowed;” and III.G, “Matching, Level of Effort, Earmarking.”
- **CFDA 20.509** – Updated II, “Program Procedures.”
- **CFDAs 20.513, 20.561, and 20.521** – Updated I, “Program Objectives;” II, “Program Procedures;” III.E.3, “Eligibility – Eligibility for Subrecipients;” and III.G.1.a, “Matching, Level of Effort, Earmarking - Matching.”
- **CFDA 20.600** – Deleted a weblink in III.A.1.a.(2), “Activities Allowed or Unallowed.”
- **CFDAs 21.012 and 21.020** – Updated weblink in II, “Program Procedures.”
- **CFDAs 21.015** – Updated the common name part of the program title, and II, “Program Procedures.” Added III.A.1.1, “Activities Allowed or Unallowed,” and III.G.3, “Matching, Level of Effort, Earmarking – Earmarking,” which includes an example of how the limitation on administrative costs is applied.
- **CFDAs 66.458 and 66.482** – Updated III.G.3.b, “Matching, Level of Effort, Earmarking – Earmarking,” to remove outdated information and extend the FY 2016 requirements to coverage by the FY 2017 continuing resolution.
- **CFDAs 66.468 and 66.483** – Updated III.G.3.c, “Matching, Level of Effort, Earmarking – Earmarking,” to remove outdated information and extend the FY 2016 requirements to coverage by the FY 2017 continuing resolution.
- **CFDA 81.041** – Updated II, “Program Procedures – Availability of Other Program Information.”

- **84.000** – Updated “Introduction;” II, “Program Procedures;” III.A.3, “Activities Allowed or Unallowed;” III.G.2.2, and 3, “Matching, Level of Effort, Earmarking – Level of Effort and- Earmarking,” respectively; and III.N.2, “Special Tests and Provisions - Schoolwide Programs (SEAs/LEAs).” The changes were made to reflect the effect of the Every Student Succeeds Act and the end of special waivers under the Elementary and Secondary Education Act of 1965 (ESEA flexibility).
- **CFDA 84.002** – Updated I, “Program Objectives;” II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” and III.G, “Matching, Level of Effort, Earmarking” (all sections). Added III.E, “Eligibility,” and, specifically, E.1, “Eligibility for Individuals.”
- **CFDA 84.010** – Updated II, “Program Procedures;” III.A.2, “Activities Allowed or Unallowed;” and III.E.2.a. and b, “Eligibility – Eligibility for Groups of Individuals or Area of Service.” Removed III.G.3.b, “Matching, Level of Effort, Earmarking – Earmarking.” These changes were made to reflect the effect of the Every Student Succeeds Act and the end of special waivers under the Elementary and Secondary Education Act of 1965 (ESEA flexibility). In III.G.3, “Matching, Level of Effort, Earmarking – Earmarking,” renumbered paragraph 3.a to 3.b and added new paragraphs 3.a and 3.c. In III.N. “Special Tests and Provisions,” removed N.5, “Identifying Schools and LEAs Needing Improvement,” and renumbered N.6, 7, and 8 to N.5, 6, and 7, respectively. Removed the “Highly Qualified Teacher” requirements from the renumbered and renamed N.5, “Qualifications of Paraprofessionals.” Update the renumbered N.6, “Annual Report Card, High School Graduation Rate.”
- **CFDA 84.032-G** – Updated weblinks in III.L.3, “Reporting – Special Reporting.” In III.N, “Special Tests and Provisions,” added suggested audit procedures to N.1, “Current Records;” N.3, “Conditions of Reinsurance Coverage;” and N.4, “Death, Disability, Closed Schools, False Certification, Unpaid Refunds, Bankruptcy, and Teacher Loan Forgiveness Claims;” and updated reinsurance rates in N.2, “Federal Reinsurance Rate.” In III.N, “Special Tests and Provisions,” also updated websites in N.10, “Investments – Federal Fund,” N.11, “Collection Charges,” and N.13, “Access to National Student Loan Data System (NSLDS).”
- **CFDA 84.032-L** – Updated II, “Program Procedures - Availability of Other Program Information.” Added III.N.11, “Special Tests and Provisions - Servicemembers Civil Relief Act.”
- **CFDA 84.041** – Updated title and the rest of the program supplement to replace citations that were changed by the Every Student Succeeds Act.
- **CFDAs 84.042, 84.044, 84.047, 84.066, and 84.217** – Updated maximum grant amounts in III.E.1.a.(2), “Eligibility – Eligibility for Individuals.”

- **CFDA 84.048** – Updated II, “Program Procedures,” and III.L.3.a, “Reporting – Special Reporting.” Updated example years in III.G.2.1.a.(1), “Matching, Level of Effort, Earmarking – Level of Effort - Maintenance of Effort.”
- **CFDA 84.126** – Updated II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” III.G, “Matching, Level of Effort, Earmarking” (all sections); III.J, “Program Income;” and III.L.2, “Reporting – Performance Reporting.”
- **CFDA 84.282** – Updated II, “Program Procedures.” Added citations in III.A.3.c, “Activities Allowed or Unallowed,” and III.G.3.c, “Matching, Level of Effort, Earmarking – Earmarking.”
- **CFDA 84.287** – Updated II, “Program Procedures,” and III.H, “Period of Performance.”
- **CFDA 84.367** – Updated I, “Program Objectives;” II, “Program Procedures;” III.G.2.1, “Matching, Level of Effort, Earmarking - Level of Effort – Maintenance of Effort (SEAs/LEAs);” and III.N.1, “Special Tests and Provisions - Participation of Private School Children (SEAs/LEAs),” to reflect the effect of the Every Student Succeeds Act and the end of waivers under the Elementary and Secondary Education Act of 1965 (ESEA flexibility). Updated III.A.1.c and 2, “Activities Allowed or Unallowed.”
- **CFDA 84.377** – Updated II, “Program Procedures,” and III.A.1, “Activities Allowed or Unallowed.” Added a new paragraph 3 in III.H, “Period of Performance.”
- **CFDAs 93.044, 93.045, 93.053** – Updated II, “Program Procedures – Availability of Other Program Information.”
- **CFDA 93.090** – Updated III.B, “Allowable Costs/Cost Principles.”
- **CFDAs 93.095 and 93.096** – Updated III.H, “Period of Performance.”
- **CFDA 93.153** – Corrected program name (correction did not affect Table of Contents) and added common name for the program based on the section of the Ryan White HIV/AIDS Treatment Extension Act under which it is authorized. Changed header to reflect the program’s common name. Updated I, “Program Objectives;” II, “Program Procedures;” and III.A, “Activities Allowed or Unallowed.” Added J, Program Income.” Removed III.G.2, “Matching, Level of Effort, Earmarking - Level of Effort - Supplement Not Supplant,” thus changing “Level of Effort” to “Not Applicable.”

- **CFDAs 93.224 and 93.527** – Updated program names; II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” III.B, “Allowable Costs/Cost Principles;” and III.L.3, “Reporting – Special Reporting.” Removed III.J, “Program Income.” Added III.N, “Special Tests and Provisions – Sliding Fee Discounts.”
- **CFDAs 93.505 and 93.870** – Added 93.870, the successor program to 93.505, to form a cluster during the transition period. Updated I, “Program Objectives;” II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” and III.G.3.a, “Matching, Level of Effort, Earmarking – Earmarking.”
- **CFDA 93.508** – Updated II, “Program Procedures – Availability of Other Information,” by removing the reference to the FY 2010 funding opportunity announcement and updating the weblink for the FY 2012 funding opportunity announcement. In III.A.1 and 2, “Activities Allowed or Unallowed,” removed references to the FY 2010 funding opportunity announcement.
- **CFDAs 93.558 and 93.714** – Updated II, “Program Procedures,” and IV, “Other Information,” to indicate the relation of this program supplement to the new cross-cutting Pub. L. No. 102-477 cluster. Updated other portions of II, “Program Procedures;” III.G.2.1.b, “Matching, Level of Effort, Earmarking – Level of Effort – Maintenance of Effort;” and III.H, “Period of Performance.” Corrected name of report in III.L.1.e, “Reporting – Financial Reporting,” and explained the relationship between this report and “Version 2” reporting covered by the Pub. L. No 102-477 cluster. Added a new subsection to IV, “Other Information,” regarding juvenile justice services and referenced it in III.A, “Activities Allowed or Unallowed,” and III.G.2.1, “Matching, Level of Effort, Earmarking – Level of Effort – Maintenance of Effort.”
- **CFDA 93.563** – Updated III.A.2.b, “Activities Allowed or Unallowed,” and III.L.1, “Reporting – Financial Reporting,” including making the SF-270 “Not Applicable.”
- **CFDA 93.568** – Updated II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” and III.G.3, “Matching, Level of Effort, Earmarking – Earmarking.”
- **CFDA 93.569** – Updated IV, “Other Information,” to indicate the relation of this program supplement to the new cross-cutting Pub. L. No. 102-477 cluster.
- **CFDAs 93.575 and 93.596** – Updated II, “Program Procedures,” and IV, “Other Information,” to indicate the relation of this program supplement to the new cross-cutting Pub. L. No. 102-477 cluster. Updated other portions of II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” III.E.1 and 2, “Eligibility – Eligibility for Individuals and - Eligibility of Group of Individuals or Area of Service Delivery,” respectively; III.G.3, “Matching, Level of Effort, Earmarking – Earmarking;” the examples in III.H, “Period of Performance;” and III.N.1, “Special Tests and Provisions – Health and Safety Requirements.”

- **CFDA 93.600** – Updated I, “Program Objectives;” II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” III.G.1 and 3, “Matching, Level of Effort, Earmarking – Matching and - Earmarking,” respectively; and III.M, “Subrecipient Monitoring.” Added III.E and, specifically, III.E.3, “Eligibility – Eligibility for Subrecipients,” and III.N, “Special Tests and Provisions.” In III.N, added N.1, “Protection of Federal Interest in Real Property and Facilities,” in lieu of III.F, “Equipment and Real Property Management,” which has been removed; and N.2, “Program Governance.” Added IV, “Other Information,” to indicate some common internal control deficiencies and compliance issues. Changed III.L.3, “Reporting – Special Reporting,” from “Not Applicable,” and added real property reporting. Removed III.J, “Program Income.”
- **CFDA 93.645** – Updated II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” and III.G.1 and 3, “Matching, Level of Effort, Earmarking – Matching and – Earmarking,” respectively. Removed III.G.2.1, “Matching, Level of Effort – Earmarking – Level of Effort – Maintenance of Effort,” thus making III.G.2, “Not Applicable.”
- **CFDA 93.658** – Updated II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” III.B, “Allowable Costs/Cost Principles;” III.E.1.e, “Eligibility – Eligibility for Individuals;” and III.G.1, “Matching, Level of Effort, Earmarking – Matching.” In III.N, “Special Tests and Provisions, added a new N.2, “Operation of a Foster Care Demonstration Project (Applicable Only for Title IV-E Agencies with ACF Approval to Operate a Foster Care Demonstration Project),” and renumbered “Payment Rate Setting and Application” as N.1.
- **CFDA 93.659** – Updated II, “Program Procedures;” III.B, “Allowable Costs/Cost Principles;” III.E.1.a, “Eligibility – Eligibility for Individuals;” III.G.2.1, “Matching, Level of Effort, Earmarking – Level of Effort – Maintenance of Effort;” and III.L.1.d, “Reporting – Financial Reporting.”
- **CFDA 93.667** – Updated III.L.1.c, “Reporting – Financial Reporting,” to change the SF-425 from “Not Applicable” to “Applicable.”
- **CFDAs 93.775, 93.777, and 93.778** – Removed prospective information about the effects of the Affordable Care Act from the introductory note; II, “Program Procedures;” and IV, “Other Information.”
- **CFDA 93.914** – Changed header to reflect the program’s common name based on the section of the Ryan White HIV/AIDS Treatment Extension Act under which it is authorized. Updated throughout to reflect use of program’s common name and current terminology, i.e., people living with HIV. In addition, updated II, “Program Procedures;” III.E.1, “Eligibility – Eligibility for Individuals;” III.G.2.1, “Matching, Earmarking, Level of Effort – Level of Effort – Maintenance of Effort;” III.H, “Period of Performance;” and III.J, “Program Income.” Added III.G.2.2, “Matching, Earmarking, Level of Effort – Level of Effort – Supplement Not Supplant,” thus changing this subsection from “Not

Applicable,” and added a new III.G.3.c, “Matching, Earmarking, Level of Effort – Level of Effort – Earmarking,” which is consistent with the equivalent requirement in CFDA 93.917 and is included in the program’s Improper Payments Elimination and Recovery Improvement Act (IPERIA) risk assessments.

- **CFDA 93.917** – Changed header to reflect the program’s common name based on the section of the Ryan White HIV/AIDS Treatment Extension Act under which it is authorized. Updated throughout to reflect use of program’s common name and current terminology, i.e., people living with HIV. In addition, updated I, “Program Objectives;” II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” III.E.3, “Eligibility – Eligibility for Subrecipients;” III.G.3, “Matching, Level of Effort, Earmarking – Earmarking;” and III.J, “Program Income.” Added III.G.2.2, “Matching, Earmarking, Level of Effort – Level of Effort – Supplement Not Supplant,” thus changing this subsection from “Not Applicable.”
- **CFDA 93.918** – Changed header to reflect the program’s common name based on the section of the Ryan White HIV/AIDS Treatment Extension Act under which it is authorized. Updated throughout to reflect use of program’s common name and current terminology, i.e., people living with HIV. In addition, updated I, “Program Objectives;” II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” and III.J, “Program Income.” Removed III.G.2.2, “Matching, Level of Effort, Earmarking – Level of Effort - Supplement Not Supplant,” thus changing this subsection to “Not Applicable.”
- **CFDA 93.958** – Updated II, “Program Procedures,” and III.G.2.1 and 3, “Matching, Level of Effort, Earmarking – Level of Effort – Maintenance of Effort and – Earmarking,” respectively.
- **CFDA 93.959** – Updated III.A, “Activities Allowed or Unallowed.”
- **CFDA 93.994** – Updated III.L.3, “Reporting – Special Reporting.”
- **CFDA 94.006** – Updated II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” III.E.1, Eligibility – Eligibility for Individuals; and III.G.1, “Matching, Level of Effort, Earmarking – Matching.”
- **CFDAs 94.011 and 94.016** – Updated I, “Program Objectives;” II, “Program Procedures;” and III.E.1, “Eligibility – Eligibility for Individuals.”
- **CFDA 97.036** – Updated II, “Program Procedures.”
- **CFDA 97.039** – Updated II, “Program Procedures,” and III.L.1, “Reporting – Financial Reporting,” to make L.1.b “Not Applicable.”

- **CFDA 97.067** – Updated the following sections to remove outdated information, include updated citations, or change organizational names: II, “Program Procedures;” III.A, “Activities Allowed or Unallowed;” III.G.3, “Matching, Level of Effort, Earmarking – Earmarking;” and IV, “Other Information.” Corrected an error in III.G.3.c (as renumbered), “Matching, Level of Effort, Earmarking – Earmarking,” and updated III.N, “Special Tests and Provisions.”

## Part 5 - Clusters of Programs

- **Student Financial Assistance Cluster**
  - *ED programs* —Updated the citations and the location for returning excess cash in III.C, “Cash Management.” Updated a weblink in III.E.1, “Eligibility – Eligibility for Individuals.” Added coverage of the Perkins Loan Extension Act in III.E.1, “Eligibility – Eligibility for Individuals.” Updated III.G.1, “Matching, Level of Effort, Earmarking – Matching,” to clarify when a higher share may be allowed under Federal Supplemental Educational Opportunity Grants (CFDA 84.007), add coverage regarding the Federal Perkins Loan program, and remove a weblink. Removed ED programs from coverage of III.H, “Period of Performance.” Added a note in III.J, “Program Income,” concerning use of third-party servicers. Updated dates in III.L.3, “Reporting – Special Reporting.” In III.N, “Special Tests and Provisions:” updated N.2, “Verification;” updated citations in N.3, “Disbursements To or On Behalf of Students,” and N.4, “Return of Title IV Funds;” updated N.5, “Enrollment Reporting;” and updated the availability of a form in N.13, “Federal Perkins Loan Liquidation.” Updated Appendix B.
  - *HHS programs* — Corrected the name of the program for CFDA 93.925 to “Scholarships for Health Professions Students from Disadvantaged Backgrounds - Scholarships for Disadvantaged Students (SDS)” as shown in the CFDA. Updated II, “Program Procedures,” for the Nurse Faculty Loan Program; III.C, “Cash Management,” for all of the HHS programs; III.E.1, “Eligibility - Eligibility for Individuals,” for the Scholarships for Disadvantaged Students Program; and III.N.6, “Special Tests and Provisions – Student Loan Repayments (FPL, HPSL/PCL/LDS and NSL, and NFLP).” Added an “X” in Appendix A, item 6, for NSL/NFLP.
- **Research and Development Cluster**
  - Updated the weblink in III.B, “Allowable Costs/Cost Principles,” to a location where the National Institutes of Health (NIH) provides an historical summary of the salary cap.

- **Other Clusters**
  - Updated list of other clusters to remove a cluster based on deletion of an ARRA program, changed program names, a program added to an existing cluster, and the addition of new clusters.

#### **Part 6 - Internal Control**

- No changes.

#### **Part 7 - Guidance for Auditing Programs Not Included in This Compliance Supplement**

- Updated to reference 2017 Supplement.

#### **Appendix I - Federal Programs Excluded from the A-102 Common Rule and Portions of 2 CFR Part 200**

- No changes.

#### **Appendix II - Federal Agency Codification of Governmentwide Requirements and Guidance for Grants and Cooperative Agreements**

- Updated footnote #3 for consistency with the change to VI, “Exceptions to the Guidance in 2 CFR Part 200” in Appendix VII.

#### **Appendix III - Federal Agency Single Audit, Key Management Liaison, and Program Contacts**

- Updated information on management liaisons, single audit offices/officials, and program contacts.

#### **Appendix IV - Internal Reference Tables**

- Updated tables for changes in the 2017 Supplement.

#### **Appendix V - List of Changes for the 2017 Compliance Supplement**

- Updated to provide a list of changes from the Compliance Supplement, dated June 2016, to this 2017 Supplement.

#### **Appendix VI – Program-Specific Audit Guides**

- No changes.

**Appendix VII - Other Audit Advisories**

- Updated and expanded II, “Effect of Changes to Compliance Requirements,” to include the effect of adding a program to an “other” cluster and changed the name of that section.
- Combined IV, “Due Date for Submission of Audit Reports and Low-Risk Auditee Criteria,” and V, “Submission of Form SF-SAC,” and changed the title to “Due Date for Submission of Audit Reports and Low-Risk Auditee Criteria.”
- Removed outdated information from the following sections and updated, as appropriate, including, in the first instance, changing the title:
  - VI, “Exceptions to the Guidance in 2 CFR Part 200”
  - VII, “Report on the National Single Audit Sampling Project.”

**Appendix VIII –Examinations of EBT Service Organizations**

- No changes.

**Appendix IX - Compliance Supplement Core Team**

- Updated the names of the interagency team members responsible for the agency contents of the Supplement.